

SYSTEM REGULATION

15.99.04 State Review of Federal Grants and Loans

May 27, 1997

1. Various state and federal statutes, agency rules and presidential executive orders establish requirements for state agencies, including institutions of higher education, to submit federal grant and loan applications to agencies of the state, and to report to certain agencies the results of such applications.

- 1.1 Chapter 772 of the Texas Government Code directs that the Governor's Division of Planning Coordination may provide for the review and comment on

- (1) any state plan of a state agency that is required as a condition of federal assistance; or
- (2) any application by a state agency for federal grant or loan assistance.

The statute further provides that the Governor's Division of Planning Coordination shall establish policies and guidelines for an effective review and comment process and cooperate with the Legislative Budget Board in developing the information requirements relating to the review and comment process. Section 772.005 requires that state agencies shall notify the Division of Planning Coordination of each application for federal grant or loan assistance before the agency submits the application. The Division has established a procedure and format for such notification and these are available as Attachment A.

- 1.2 Chapter 751 of the Texas Government Code requires, at Section 751.024, that each agency and each institution of higher education shall report to the Legislative Budget Board

- (1) each application or request made to the United States Government for grant funds;
- (2) the award or designation by the United States Government of any funds for expenditure by a state agency; and
- (3) waivers of grant requirements.

It further establishes that the Director of the Legislative Budget Board may develop reporting procedures and time schedules necessary to implement these requirements. The Legislative Budget Board has established the data base and has issued user documentation to agencies for compliance with these requirements.

- 1.3 Sections 5.191 through 5.271 of the Texas Administrative Code establish certain requirements for the prior notification to the Office of State-Federal Relations

concerning applications for federal grants and loan assistance that are subject to Executive Order 12372, as amended by Executive Order 12416. Documentation of these requirements and procedures for implementation are available through the Texas Office of State-Federal Relations.

2. Component universities and agencies will comply with the requirement set forth above as appropriate, subject to specific instructions from Governor's Division of Planning Coordination, the Office of State-Federal Relations, and/or the Legislative Budget Board, as appropriate.

CONTACT FOR INTERPRETATION: Vice Chancellor for Research and Federal Relations

HISTORY: Last version: March 1, 1977 (APRM C.10.1)

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